Attorney Docket No.: <u>678-756 (P9786)</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: SHINICHI, Haruyuma. GROUP ART UNIT: 2614

SERIAL NO.: 10/037,194 EXAMINER: Alexander JAMAL

FILED: November 9, 2001 DATED: October 29, 2007

FOR: PORTABLE COMMUNICATION TERMINAL WITH REDUCED

SPECIFIC ABSORPTION RATE

Mail Stop Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313,1450

Attention: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND

Sir:

I. REFUND REQUEST

This is a request for a refund with respect to a payment for second month extension of time incurred because of a delay that is believe to have been caused by the U.S. Patent and Trademark Office with respect to the above-identified application

III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

An Office Action marked "Final" by the Examiner was mailed on May 21, 2007. On August 20, 2007 a Response to the Office Action was filed, and received by the U.S. Patent and Trademark Office (the Office) on August 22, 2007 as evidenced by the return postcard attached hereto.

An Advisory Action finally issued on September 26, 2007. A period of over one month elapsed between the filing of the August 2007 Response and the issuance of the September 2007 Advisory Action.

The Advisory Action was responded to by filing a Request for Continued Examination on October 22, 2007 along with a two month Extension of Time, and the filing fees required therefor.

It is respectfully submitted that if not for the delay of the Patent Office, the fees associated with the second month Extension of Time of \$340.00 would not have been incurred by the applicant.

The delay was directly caused by the Office, and in turn caused the Applicant to incur the costs associated with the second month extension of time, namely \$340.00, which is the difference between the one month extension fee and the two month extension fee.

Due to the delay of the issuance of the Advisory Action by the U.S. Patent and Trademark Office, a payment of the second month extension of time for a total of \$340.00 was required.

IV. MANNER OF REFUND

Please make refund by

X crediting Deposit Account No. 50-4053.

__ refunding payment.

Respectfully requested,

Paul J. Varrell Reg. No. 33,494

Attorney for Applicant(s)

Dated: October 29, 2007

THE FARRELL LAW FIRM 333 Earle Ovington Blvd., Suite 701 Uniondale, New York 11553 (516) 228-3565 (tel) (516) 228-8475 (fax) PJF/dr

11.	FEES CHARGED FOR WHICH REFUND REQUESTED	
	AMOUNT OF REFUND REQUESTED	
_	filing fee	
_	surcharge for filing the basic filing fee on a date later than the filing date of the application (37 C.F.R. §1.16(e)	
	and/or	
_	surcharge for filing the oath or declaration on a date later than the filing date of the application (37 C.F.R. §1.16(e)	
_	extension of term	
_	first month	
X	second month	\$ 340.00
_	third month	
_	fourth month	
_	excess claims	
_	issue fee	
_	petition fee	
_	patent maintenance fee	
_	first maintenance fee	
_	second maintenance fee	
_	third maintenance fee	
_	patent maintenance fee surcharge	
_	other	

TOTAL REFUND REQUESTED

<u>\$ 340.00</u>

PORTABLE COMMUNICATION TERMINAL WITH REDUCED PATENT OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF: Amendment Transmittal (in duplicate) Revocation of Power of Attorney Statement Under 37 CFR 3.73(b) SPECIFIC ABSORBTION RATE Under Certificate of Mailing SHINICHI, Haruyuma November 9, 2001 2007 August 20, 10/037,194 Return Postcard 678-756 Amendment Serial No.: Applicant: For: Date Sent: PJF/TCS/dr Docket: Filed:

FATENT OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF:

Amendment Transmittal (in duplicate)

2. Amendment

3. Revocation of Power of Attorney Statement Under 37 CFR 3.73(b) 4.

Return Postcard

All Under Certificate of Mailing

Applicant:

For:

SHINICHI, Haruyuma
PORTABLE COMMUNICATION TERMINAL WITH REDUCED

SPECIFIC ABSORBTION RATE

Filed: November 9, 2001

Serial No.: 10/037,194

Docket: 678-756

Date Sent: August 20, 2007

PJF/TCS/dr

